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PTO/SB/21 (03-03)

Application Number TRANSMITTAL Filing Date **FORM**

4

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/769,743 01/23/2004 First Named Inventor Robert L. Diaz Art Unit 3732 **Examiner Name Attorney Docket Number** 2385.005

1		ENCLOSURES (Check all that apply)			
	Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to a Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter ✓ Other Enclosure(s) (please Identify below): Copy of one (1) cited document			
	Response to Missing Parts under 37 CFR 1.52 or 1.53				
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Date	Date 1 16/21/0 4				
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT

: Robert L. Diaz

INVENTION:

: DISK ARTHROPLASTY INSTRUMENTATION AND

IMPLANTS

SERIAL NUMBER

: 10/769,743

FILING DATE

: January 23, 2004

EXAMINER:

GROUP ART UNIT

: 3732

ATTORNEY DOCKET NO.

: 2385.005

CERTIFICATE UNDER 37 CFR §1.8(a)

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 CFR §1.56, the references cited on the accompanying form PTO/SB/08A are hereby brought to the attention of the Examiner for independent evaluation. Pursuant to the Official Gazette Notice dated August 5, 2003, Applicants are not required to submit copies of the cited U.S. patent references. Copies of the other cited documents are attached. The references were cited in the specification and their relevancy is set forth therein.

Applicants submit that the present invention is patentable over these references.

Respectfully

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/769,743			
Filing Date	01/23/2004			
First Named Inventor	Robert L. Diaz			
Art Unit	3732			
Examiner Name				
Attorney Docket Number	2385.005			

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Examiner initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} Re 36,758	06/27/2000	W. Fitz	
-		^{US-} 3,867,728	02/25/1975	J. Stubstad et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	er Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)				₽
		WO98/48717	11/05/1998	J. Taylor		
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Art Unit	3732		
Examiner Name			
Attorney Docket Number	2385.005		

U. S. PATENT DOCUMENTS Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Initials* Figures Appear Number-Kind Code² (# known) US- 5,527,312 06/18/1996 R. Ray ^{US-} 5,545,229 08/13/1996 J. Parsons et al. US- 5,556,431 09/17/1996 Buttner-Janz ^{US-} 5,562,738 10/08/1996 L. Boyd et al. ^{US-} 5,676,701 10/14/1997 H. Yuan et al. US- 5.700,288 12/23/1997 L. Eaton US- 5,702,454 12/30/1997 W. Baumgartner US- 5,824,093 10/20/1998 C. Ray et al. US- 5,824,094 H. Serhan et al. 10/20/1998 ^{US-} 5,855,606 01/05/1999 L. Eaton ^{US-} 6,001,130 V. Brvan et al. 12/14/1999 US- 6,039,763 03/21/2000 A. Shelokov S. Gill et al. ^{US-} 6,113,637 06/05/2000 US- 6,132,464 10/17/2000 J. Martin US- 6,146,419 11/14/2000 L. Eaton US- 6,146,421 11/14/2000 D. Gordon et al. L. Eaton US- 6,283,998 09/04/2001 US- 6,419,703 T. Fallin et al. 07/16/2002 US- 6,565,605 05/20/2003 E. Goble et al.

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
_		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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